ENTRY REQUIREMENTS

Applicants shall hold:

(a) hold a bachelor's degree in any discipline awarded by a recognized university;
AND
(b) provide evidence of English proficiency (if the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English) such as:
- an overall band of 6.0 with no subtetst lower than 5.5 in the IELTS;
- a score of 650 in the paper-based TOEFL, or a score of 213 in the computer-based TOEFL;
- a score of 80 in the internet-based TOEFL;
- HKALE Use of English at Grade E;
- other equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Notes to applicants:

- Students need to take Basic Accounting of Postgraduate Diploma in Professional Accounting if you want to take Financial Reporting Compliance.
- Business and Company Law - for claiming exemption, students need to study both business law and company law. With reference to the New Company Ordinance which came into force on 3 March 2014.
- Applicants with relevant prior studies can apply for exemption, please complete the exemption form and furnish course outline.

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Tel: 3967 9409
Fax: 2808 4759

Every effort has been made to ensure the contents of this brochure are correct at the time of printing. HKU SPACE reserves the right to update the contents of the brochure at any time without notice and this brochure does not form part of contract between the students and the School. Information in the HKU SPACE website is the most up-to-date version and supersedes the printed brochure, whenever applicable.

HKU SPACE is a non-profit making University company limited by guarantee.
This is a unique programme that aims to provide students with a coherent, relevant and practical programme of knowledge in bank and insurance compliance and prepare them for a career in compliance and related sectors.

Real-life cases will be discussed, drawing reference from standards and rules highlighting the non-compliance issues involved and grey areas.

Programme Objective

The programme aims to provide students with a coherent, relevant and practical programme to equip them for a career in compliance and related sectors. It has a systematic body of theory and knowledge on compliance but at the same time it is designed to be very practical and problem based oriented. The main focus of this programme is its emphasis upon the importance of compliance issues in the increasingly stringent regulatory environment.

Programme Structure

The programme consists of following modules, duration of each ranging from 30 to 40 contact hours. Students need to complete six modules (which have to include the two core modules) in order to be awarded the Postgraduate Diploma.

- Financial Reporting Compliance
- Internal Controls - Concepts and Issues
- Business and Company Law
- Corporate Governance
- Compliance in Banking Sector (CORE)
- Compliance in Insurance Sector (CORE)
- Anti-money Laundering – Practices and Issues

All the modules in tandem with each other will deliver a well-structured and comprehensive coverage of financial, legal operational aspects of banking and insurance compliance.

MODE OF DELIVERY

Each academic year is divided into trimesters (Feb, June, October). This provides benefits of maximum flexibility for students to enrol in different points in time and plan their pace of studies.

Students are required to complete the whole programme in a maximum period of 3 years. All classes will be held in weekday evenings or weekends in the HKU SPACE learning centers.

Assessment

Students will be assessed on the basis of their performance in examinations and assignment that make up a total of 100%. Students are required to pass both assignment and the final examination in order to obtain an overall pass for a module.

Award

The award of Postgraduate Diploma in Corporate Compliance will be conferred upon successful completion of all 6 modules with no grade "F" in any of them and achieved a cumulative Grade Point Average (GPA) of at least 1.0.

APPLICATION

Enrolment Method

Please submit the following documents along with your application in person at counter:

- Completed and signed application form
- Copies of ID card, academic certificates and transcripts (Please bring along the originals to any HKU SPACE Learning Centres upon submission of application for certification purposes.)
- A non-refundable application fee of HK$200. Payment made any cheque should be crossed and made payable to “HKU SPACE”.

TUITION FEE

$7,000 per module

* HK$200 (non-refundable)